

Suncorp Bank Retail and Small Business Broker Accreditation **Application**

Please complete accreditation application details required then send your accreditation application, with required attachments as per accreditation checklist, to either of the following:

Email bc.accreditation@suncorp.com.au
Facsimile 1300 884 912

Once completed application requirements have been forwarded to either of the above, you should receive confirmation within two (2) business days.

Should you have any questions regarding accreditation application, please email bc.accreditation@suncorp.com.au

Aggregator / Broker Group

Aggregator / Broker Group	<input type="text"/>
Trading Name (if applicable)	<input type="text"/>
Previous Suncorp Bank Accreditation code (if applicable)	<input type="text"/>
Title	<input type="text"/>
Surname	<input type="text"/>
Given Names	<input type="text"/>
Preferred Name	<input type="text"/>
Date of Birth	<input type="text"/> / <input type="text"/> / <input type="text"/>
Business Address	<input type="text"/>
<i>Please include Suburb, State & Postcode</i>	<input type="text"/>
Postal Address <i>(Leave blank if same as above)</i>	State <input type="text"/> Postcode <input type="text"/>
	State <input type="text"/> Postcode <input type="text"/>
Office Phone	(<input type="text"/>) <input type="text"/>
Business Mobile	<input type="text"/>
Business Facsimile	(<input type="text"/>) <input type="text"/>
Business Email	<input type="text"/>

By providing your mobile number and email address, you consent to us contacting you by text and email.

Aggregator / Broker Group continued

ACL Registration/Licence Holder Yes ➤ ACL Registration / Licence Number

No ➤ Are you a credit representative of a Registered/ Licensed entity

Yes ➤ ACL Registration/ Licence number
Licence Name of entity

Have you at any time been expelled from an aggregator or industry body Yes No

Becoming an Accredited Broker

As part of the Suncorp Bank Accreditation process, it is important that you familiarise yourself with Suncorp's products, pricing and processes.

We request that you review this information which can be found on businesspartners.suncorp.com.au and regularly check this site for updates.

In signing this application you confirm that

- you have accessed the information at businesspartners.suncorp.com.au/public/newbrokers and agree to continue to review; and
- all the information provided by you is correct.

Signature of Applicant

Date

Suncorp Bank Privacy Statement

Our Privacy Commitment

Privacy is important especially when you consider the number of ways we communicate and interact these days. That's why we've put together this statement. It explains how we collect, hold, use and disclose your personal information and who we share it with.

And because it's guided by privacy laws, you can be sure it takes your rights seriously.

Above all, this statement is your assurance that we never take your privacy for granted and always take the utmost care in protecting your personal information.

Suncorp-Metway Ltd ("Bank") is a member of the Suncorp Group, which we'll refer to simply as "the Group"

Why do we collect personal information?

Personal information is information or an opinion about an identified individual or an individual who is reasonably identifiable. The Bank collects personal information so that we can:

- identify you and conduct appropriate checks;
- understand your requirements and provide you with a product or service;
- set up, administer and manage our products and services;
- manage, train and develop our employees and representatives;
- manage complaints and disputes, and deal with dispute resolution bodies; and
- get a better understanding of you, your needs, your behaviours and how you interact with us, so we can engage in product and service research, development and business strategy including managing the delivery of our services and products via the ways we communicate with you.

What happens if you don't give us your personal information?

If we ask for your personal information and you don't give it to us, we may not be able to provide you with any, some, or all of the features of our products or services.

How we handle your personal information

We collect your personal information directly from you and, in some cases, from other people or organisations. We also provide your personal information to other related companies in the Group, and they may disclose or use your personal information for the purposes described in 'Why do we collect personal information?' in relation to products and services they may provide to you.

Under the following Australian laws we may be authorised or required to collect your personal information: Anti-Money Laundering and Counter-Terrorism Financing Act, Income Tax Assessment Acts, Tax Administration Act and A New Tax System (Goods and Services Tax) Act, and any regulations made under those Acts.

We will use and disclose your personal information for the purposes we collected it as well as purposes that are related, where you would reasonably expect us to. We may disclose your personal information to and/or collect your personal information from:

- other companies within the Group;
- any of our Group joint ventures where authorised or required;
- any entity where disclosure to, or collection from, such entity is required or authorised by law;
- customer, product, business or strategic research and development organisations;
- data warehouse, strategic learning organisations, data partners, analytic consultants;
- social media and other virtual communities and networks where people create, share or exchange information;
- publicly available sources of information;
- clubs, associations, member loyalty or rewards programs and other industry relevant organisations;
- a third party that we've contracted to provide financial services, financial products or administrative services – for example; information technology providers, administration or business management services, consultancy firms, auditors and business management consultants, marketing agencies and other marketing service providers, and print/mail/digital/imaging/document management service providers;
- yours or our advisers, agents, representatives or consultants;
- government, statutory or regulatory bodies and enforcement bodies;
- the Financial Ombudsman Service or any other external dispute resolution body;
- manufacturers for plastic card production (eg debit cards); and
- any other organisation or person, where you've asked them to provide your personal information to us or asked us to obtain personal information from them, eg your mother

Our Privacy Commitment

We'll use a variety of methods to collect your personal information from, and disclose your personal information to, these persons and organisations, including written forms, telephone calls and via electronic delivery. We may collect and disclose your personal information to these persons and organisations during the information life cycle, regularly, or on an ad hoc basis, depending on the purpose of collection.

Overseas Disclosure

Sometimes, we need to provide your personal information to – or get personal information about you from – persons located overseas, for the same purposes as in 'Why do we collect personal information?'

The list of countries Suncorp usually disclose personal information to is in our Suncorp Group Privacy Policy. Please go to www.suncorp.com.au/privacy to see our Suncorp Group Privacy Policy or call 13 11 75 to obtain a list of countries Suncorp usually disclose personal information to.

From time to time, we may need to disclose your personal information to, and collect your personal information from, other countries not on this list. Nevertheless, we will always disclose and collect your personal information in accordance with privacy laws.

Your personal information and our marketing practices

Every now and then, we and any related companies that use the Suncorp brand might let you know – including via mail, SMS, email, telephone or online – about news, special offers, products and services that you might be interested in. We will engage in marketing unless you tell us otherwise. You can contact us to update your marketing preferences at any time.

In order to carry out our direct marketing we collect your personal information from and disclose it to others that provide us with specialised data matching, trending or analytical services, as well as general marketing services (you can see the full list of persons and organisations under 'How we handle your personal information'). We may also collect your personal information for marketing through competitions and by purchasing contact lists.

We, and other people who provide us with services, may combine the personal information collected from you or others, with the information we, or companies in our Group, or our service providers already hold about you. We may use online targeted marketing, data and audience matching and market segmentation to improve advertising relevance to you.

How to access and correct your personal information or make a complaint

You have the right to access and correct your personal information held by us and you can find information about how to do this in the Suncorp Group Privacy Policy.

The Policy also includes information about how you can complain about a breach of the Australian Privacy Principles and how we'll deal with such a complaint. You can get a copy of the Suncorp Group Privacy Policy. Please use the contact details in Contact Us if you wish to do so.

Contact us

For more information about our privacy practices including overseas disclosure or to tell us about your marketing preferences you can visit: www.suncorp.com.au/privacy.

Alternatively, you can get in touch directly by contacting us on:

Phone: 13 11 75

Email: privacyaccessrequests@suncorp.com.au

Mail: Suncorp Bank GPO Box 1453, Brisbane Qld 4001

or by visiting a Suncorp Bank Branch

Suncorp Bank Retail and Small Business Broker Accreditation Checklist

MFAA Contact Details

Phone 1300 554 817

Web www.mfaa.com.au

FBAA Contact Details

Phone (07) 3252 0120

Web www.fbba.com.au

REQUIREMENTS – Suncorp Bank requires Brokers who are being accredited or switching Aggregators to have either of the following:

➤ Australian Credit Registration/Licence – individual (Australian Credit Registration/Licence confirmation is to be supplied)

Or

➤ Credit Representative – for brokers choosing to be represented under the Credit Licence of another entity (Copy of Credit Representative appointment letter to be supplied)

In addition to National Consumer Credit Protection licensing obligations, Suncorp Bank requires Brokers who are being accredited or switching Aggregators to have either of the following industry memberships:

➤ MFAA Accredited Mortgage Consultant (AMC)

➤ FBAA Accredited Member (AM) with Compliance Basics Certificate or Certificate of Attainment

New Suncorp Accreditations

MFAA

Completed Suncorp Retail and Small Business Broker Accreditation Application

Copy of **CURRENT** MFAA Accredited Mortgage Consultant Certificate

➤ Expiry date must be current and clearly displayed

or

Confirmation email from the MFAA confirming AMC status if certificate has not been received

➤ Copy certificate must be sent to Suncorp once received

FBAA

Completed Suncorp Retail and Small Business Broker Accreditation Application

Copy of FBAA Compliance Basics Certificate or Certificate of Attainment

and Copy of **CURRENT** FBAA Individual Accredited Member Certificate

or

Confirmation email from the FBAA confirming AM status if certificate has not been received

Copy of certificate must be sent to Suncorp once received

Transfer of Existing Suncorp Accreditations

Completed Suncorp Retail and Small Business Broker Accreditation Application

Copy of **CURRENT** MFAA Accredited Mortgage Consultant Certificate or FBAA Individual Accredited Member Certificate

Copy of a severance letter/email from previous aggregator

Aggregator information – To be completed by aggregator

Authorised Aggregator representative name

Has identification for the applicant been satisfactorily confirmed?

Yes

No

Has a police check on the applicant been completed?

Yes

No

Was the police check satisfactory?

Yes

No

Which industry body is the applicant a member of?

MFAA

FBAA

Other

Aggregator Representative

Signature of Aggregator Representative

Date

X

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